

Risk Register: Targeted Lung Health Check Programme - 25 th September 2019										APPENDIX 1
ID	Date Raised	Risk Description	Likelihood	Impact	Severity	Owner	Mitigating Action	Contingent Action	Progression actions	Status
1	23/4/19	Access to primary care data impacting on numbers of participants				CO/C CGs	Work with CCG Boards and team	TBC	Communications to patients to register smoking status with GP	Open
2	23/4/19	Quality of primary care data to establish cohorts impacting on numbers/ inappropriate participation				CO/ CCGs	CCG audit and quality review under taken prior to invite extract	TBC	Initial searches undertaken and aligned to modeling. Named participant lists to be validated prior to invite	Open
3	23/4/19	Alignment to existing/planned smoking cessation programmes impacting on service capacity				CO/ CCGs	Work with CCG/PHE in design and delivery	TBC	Meetings planned to review capacity	Open
4	23/4/19	Difference in approach between CCGs impacting on purchasing/ leasing arrangements				SB	Work collaboratively with CCGs in co-design and procurement	TBC		Closed
5	23/4/19	Procurement process may cause delay to planned go live date (October)				SB	Design specification, test the market early	TBC	This is further delayed due to financial uncertainty	Open
6	23/4/19	National availability of mobile scanners and/ or reporting capacity may impact on planned go live date				SB/CO	Test the market early, work with National Team on availability	TBC	NHS Supply Chain discussion undertaken. OBS developed. Preferred provider route and extension to existing contracts for radiology reporting	Open
7	23/4/19	Delay in the recruitment to clinical team may impact on planned go live				SB	Identify key clinical leaders and team early	TBC	Extension to community/acute contracts approach. Meetings undertaken in Sept	Open
8	23/4/19	Lack of participant take up will impact				CCGs	Develop robust	TBC	To be raised at inaugural	Closed

		on trajectory					comms plan and recruitment process		Joint Programme Delivery Group	ref 10
9	23/4/19	Delay in information governance arrangements will impact on service delivery				CO/CCGs	Work with IG Leads early in process to define requirements and sign off		DPIAs completed and signed off by IG Lead	Closed
10	12/8/19	Lack of communication and engagement will impact on the number of lung health checks participants.				LB/AM CCGs	Proactive planned comms including Healthwatch at both CCGs	TBC	Thurrock Comms underway Slight delay in Luton comms. Comms Lead now appointed	Open
11	12/8/19	Revised go live of early January risk with poor uptake with bad weather				SB	Slower planned start. Communication to patients			Open
12	12/8/19	Procurement expertise available to support robust service specification development and due diligence				SB/CO	Contact NHS Supply Chain early. Contact CCG Attain support early		Advice sought	Closed
13	12/8/19	Impact on programme delivery with Programme Manager on contractor basis				SB	Fixed term NHS contract		2 year fixed term contract arranged	Closed
14	12/8/19	Consultant tax and pension concerns impacting on hours available for the programme				SB/JR/DM	Raise with National Team		Escalated	Open
15	12/8/19	Capacity of radiology reporting provider to deliver additional reports used by both Trusts with existing backlog				NB/EC	Ensure early conversation with provider – investigate alternate providers			Open
16	12/8/19	National financial payment modeling based on fixed and variable funding				SB	Raise with National Team		Escalated to National Team. MoU from National	Open

		may not cover planned activity and may put procuring organisations at risk								Team	
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Initial Issues Log: Targeted Lung Health Check Programme

ID	Date Raised	Issue Description	Status	Priority	Owner	Reported by	Escalation Date	Impact	Actions	Resolved by & date
1	23/4/19	Clinical and management concern on impact to current lung pathway diagnostics and treatment capacity	Open	High	SB/JR	SB/CO	May Meeting	High	Engaged with key clinicians and operational managers. Clinical meeting to discuss and plan for incidental findings w/c 30/9	30/9/19
2	23/4/19	Potential overlap with current respiratory monitoring in primary care	Open	High	SB	CO/CCGs	May Meeting	High	PCNs and practices kept informed of the programme	01/01/20