

Thurrock Council

Standards & Audit Committee

Internal Audit Progress Report 2018/19

Date of Committee: 14th March 2019

Introduction

The internal audit plan for 2018/19 was presented to the Standards & Audit Committee on 6th March 2018. This report provides an update on progress against that plan.

Table showing reports issued as Final, in Draft and Work in Progress (WIP)

Assignment	Status	Opinion	Actions Agreed (by priority)		
			High	Medium	Low
Audits to address specific risks					
Sickness Management	Draft	N/A	N/A	N/A	N/A
Key Performance Indicators (Children)	Draft	N/A	N/A	N/A	N/A
Car Parking Income	Draft	N/A	N/A	N/A	N/A
Street Cleaning	WIP	N/A	N/A	N/A	N/A
Children's Centres	WIP	N/A	N/A	N/A	N/A
Accounts Payable	Draft	N/A	N/A	N/A	N/A
Accounts Receivable	Draft	N/A	N/A	N/A	N/A
*Fleet Management	Draft	N/A	N/A	N/A	N/A
*Stores Management	Draft	N/A	N/A	N/A	N/A
*Adoption & Special Guardianship payments	WIP	N/A	N/A	N/A	N/A
Pollution Control	WIP	N/A	N/A	N/A	N/A
Schools Catering	WIP	N/A	N/A	N/A	N/A
Payroll (including HR)	Draft	N/A	N/A	N/A	N/A

* Added to plan following management requests. Change reported as part of progress report presented to members at the December Standards & Audit Committee.

Work and other issues for which no reports are generated

The work around the payment by results funding provided as part of the troubled families programme continues.

Staff provided advice and guidance and undertook a site visit to Meals on Wheels as part of a review of the service being undertaken by Adult Social Care's Customer Finance team.

The Chief Internal Auditor is currently undertaking a review of the processes and procedures within the Homelessness team to identify any improvements following a complaint and a request by senior management.

The service continues to work with the software provider of our automated working papers to resolve some issues around the assignment brief and report. This has been time consuming but has now been completed and staff are now using the software to undertake internal audit work.

Changes to the Annual Plan

There have been 2 additional pieces of work undertaken since the last meeting around a homelessness complaint and the Meals on Wheels work detailed above.

It is anticipated there will continue to be a significant impact on resources due to the additional work being undertaken on the troubled family's programme which may result in other audits being deferred or taken out of the plan.